



#4/A JG
7/16/01
Atty Dkt No. APF 37.20
PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on March 27, 2001

March 27, 2001
Date

Jamie O'Byrne
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Scott UMLAUF.

Serial No.: 09/705,022

Art Unit: Unassigned

Filing Date: 1 November 2000

Examiner: Unassigned

Title: NUCLEIC ACID VACCINE
COMPOSITIONS HAVING A
MAMMALIAN CD80/CD86 GENE
PROMOTER DRIVING ANTIGEN
EXPRESSION

PRELIMINARY AMENDMENT

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application (*Filing Date Granted*), said notice having been mailed 29 January 2001. This response is being filed within the statutory two month period for response, and no extensions of time are deemed necessary. Entry of this preliminary amendment, remarks and the attached Sequence Listing is respectfully requested.

ACCOMPANYING DOCUMENTS/MATERIALS

Accompanying this preliminary amendment are the following documents and/or materials: (1) a fully executed Combined Declaration and Power of Attorney; (2) Part 2 of the Notice to File Missing Parts; (3) new pages 64 to 66 of the specification consisting of a printed Sequence Listing; (4) a diskette containing a computer readable form of the Sequence Listing; (5) an Information Disclosure Statement under 37 CFR § 1.97(b); (6) Form PTO-1449; and (7) copies of the cited references.

AMENDMENT

Replacement and Renumbering of Pages:

✓ Please enter the attached three pages of printed Sequence Listing as new pages --64 to 66--.

✓ Please renumber original pages "64-69" as pages --67-72--.

✓ Please renumber the Abstract from page "70" to new page --73--.